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DOCKET NO.: \_\_MS174305.1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent appli	plication of				
Applicant: Serial No.: Filed: For: Art Unit: Examiner:	Stephen Peter de Jong, et al. 09/892,684 June 27, 2001 PLUGGABLE FORMATTERS 2171 Unknown	2100			
	Information Disclosure Statement				
Assistant Commit Washington, D.C	missioner for Patents O.C. 20231				
Sir:					
publications and pending applicat	nt to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed and other information listed on the attached PTO-1449. A copy of each listed document is enclosed exations or (b) those previously cited or submitted to the Office in the following application(s) up its for an earlier filing date under 35 U.S.C. 120:	except for: (a)			
Serial No.: Filing Date:					
believe(s) the sa	document, publication or other information for which a date is not given on the attached PTO-1449 same may qualify as "prior" art to this application and should be treated accordingly, although right to contest the prior art status of any document, publication or information, should issue arise.	Applicant(s) Applicant(s)			
	ing each listed document that is not in the English language, an English-language translation according to the attached PTO-1449 or a concise explanation of the relevance of the document is sument(s):				
(a)	Copy of each English language version of a search report indicating the degree of relevance foreign office of each document being submitted from the search report.	found by the			
(b)	Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents"	".			
3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):					
(a) Within 3 months of the filing date or date of entry into the National Stage.					
(b) <u>X</u>	Before the mailing date of a first Office Action on the merits. If this Statement is not filed before date of a first Office Action on the merits, the required certification is given below or, in the about the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Action of this Statement.	sence thereof,			

(c)	(c) After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice allowance.				
	(1)	The required certification is given below, or			
	(2)	Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or			
	(3)	Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063.			
(d	(d) After the mailing date of either a final action or a notice of allowance, but before payment of the issue Petition hereby is made for consideration of this Statement and the required certification is indicated be				
	(1)	Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or			
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4. Ce	ertificati	on (if applicable)			
(a)	)	The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.			
(b	)	The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.			
	ne Comn 0-1063.	nissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No.			
		Respectfully Submitted,			
		AMIN & TUROCY, LLP  By August  Jeffrey R. Sadlowski  Reg. No. 47,914			
24 <sup>TH</sup> Floo 1900 East Cleveland (216) 696	t 9 <sup>th</sup> Stre 1, Ohio 4				
		CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8			
I here	by certif	y that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited			
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Date: 2-18-2003

Assistant Commissioner for Patents, Washington, D.C. 20231.



PTO/SB/08A (10-01)

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Complete if Known Substitute for form 1449A/PTO **Application Number** 09/892,684 INFORMATION DISCLOSURE June 27, 2001 Filing Date Stephen Peter de Jong, et al. STATEMENT BY APPLICANT First Named Inventor Art Unit 2171 (use as many sheets as necessary) **Examiner Name** Sheet of Attorney Docket Number MS174305.1/MSFTP256US

U.S. PATENT DOCUMENTS						
Examiner Initials		_Document Number Number- Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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Complete if Known Substitute for form 1449B/PTO 09/892,684 **Application Number** INFORMATION DISCLOSURE June 27, 2001 Filing Date Stephen Peter de Jong, et al. First Named Inventor STATEMENT BY APPLICANT Group Art Unit **Examiner Name** (use as many sheets as necessary) of 2 Attorney Docket Number MS174305.1/MSFTP256US Sheet

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		O'RYAN, et al., "The Design and Performance of a Pluggable Protocols Framework for Real-time Distributed Object Computing Middleware," 1999	
		PHILIPPSEN, et al., "More Efficient Object Serialization," International Workshop on Java for Parallel and Distributed Computing, San Juan, Puerto Rico, April 12, 1999	
		SCHMIDT, et al., "Applying Patterns to Develop a Pluggable Protocols Framework for ORB Middleware," Design Patterns in Communications, Cambridge University Press, 2000, p. 1-23	
		VERMEULEN, et al., "The Pipeline Design Pattern," Rogue Wave Software, Inc., 1995, p. 1-17	
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